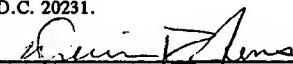


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Devin R. Jensen
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: H. Vu

Group Art Unit No.: 2811

Applicant(s): Pan et al.

Filing date: May 6, 1998

Serial No.: 09/073,494

For (title): TECHNIQUE FOR ELIMINATION OF PITTING ON
SILICON SUBSTRATE DURING GATE STACK ETCHAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- Postcard receipt acknowledgment (attached to the front of this transmittal).
- Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- Amendment or other communication in response to the non-final office action mailed September 23, 1999.
- Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed .
- Petition for Extension of Time in duplicate with check no. in the amount of \$.
- Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) .
- Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Remarks:

- An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

1	2	3	\$EXTRA
Total claims -	=	x 22.00 =	
Indep. claims -	=	x 82.00 =	
First presentation of a multiple dep. claim (+270.00)			
SUBTOTAL			
Reduction for small entity - 50% of subtotal*			
TOTAL ADDITIONAL FEE (subtotal minus any reduction)			

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

1 Claims remaining after amendment.

2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.

3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.18 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,



Devin R. Jensen
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TRASK, BRITT & ROSSA
P.O. Box 2550
Salt Lake City, UT 84110-2550
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Pan et al.

Serial No.: 09/073,494

Filed: May 6, 1998

For: TECHNIQUE FOR ELIMINATION OF PITTING ON SILICON SUBSTRATE DURING GATE STACK ETCH

Examiner: H. Vu

Group Art Unit: 2811

Attorney Docket No.: 2915.1 (96-149.1)

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I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Devin R. Jensen
Typed/printed name of person whose signature is
contained above

AMENDMENT

**Box Non Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231**

Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Office Action mailed September 23, 1999, the three-month shortened statutory period for response to which expires on December 23, 1999.

IN THE SPECIFICATION:

~~On page 2, line 23, delete the comma after "see";~~

~~On page 3, line 25, change "are" to --is--;~~

~~On page 5, line 22, change "are" to --is--;~~

1. REGIMENT